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Effects of Probiotics in Conditions or Infections Similar to COVID-19 on Health Outcomes: An Evidence Analysis Center Scoping Review

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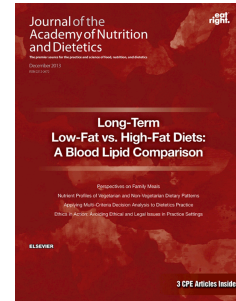
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An Evidence Analysis Center Scoping Review**

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1 **Effects of Probiotics in Conditions or Infections Similar to COVID-19 on Health Outcomes:**  
2 **An Evidence Analysis Center Scoping Review**

3

4 **Abstract**

5 Probiotics have been suggested as a potential intervention for improving outcomes, particularly  
6 ventilatory-associated pneumonia, in patients infected with COVID-19. However, with the rapid  
7 development of the COVID-19 pandemic, there is little direct evidence available in infected  
8 patients. The objective of this scoping review is to examine the availability and nature of  
9 literature describing the effect of probiotics in adults with conditions or infections similar to  
10 COVID-19 infection, on related health outcomes. MEDLINE, CINAHL and Cochrane Databases  
11 were searched for studies, published from 1999 to May 1, 2020, examining the effect of  
12 probiotics in conditions applicable to individuals infected with COVID-19, including, but not  
13 limited to, other forms of coronavirus, critical illness, and mechanical ventilation. The databases  
14 search identified 1,925 unique articles, 77 full-text articles were reviewed, and 48 studies were  
15 included in this scoping review, including 31 primary studies and 17 systematic reviews. Primary  
16 studies examined a range of interventions that varied by probiotic diversity and types, including  
17 eight studies which focused on synbiotics, which include both pre- and probiotics. Several  
18 systematic reviews examined the effect of probiotics on ventilator-associated pneumonia and  
19 other infections. While most systematic reviews concluded probiotics may improve these  
20 outcomes, most systematic review authors concluded that the evidence was low in quality and  
21 high in heterogeneity. In the absence of direct evidence with COVID-19 infected patients,  
22 studies in comparable populations are currently the best resource to guide probiotics  
23 interventions in conjunction with clinical expertise and multidisciplinary healthcare planning.

## 24 **Introduction**

25           As the COVID-19 pandemic unfolds, dietitians are moving quickly to determine best  
26 methods for preventing and treating the effects of COVID-19 infection.<sup>1</sup> Probiotics are living  
27 microorganisms that are consumed or applied for health benefits,<sup>2</sup> and have been suggested as a  
28 potential intervention to improve outcomes in patients infected with COVID-19. Probiotics may  
29 be delivered with in the form of a symbiotic, which also includes prebiotics to stimulate the  
30 growth or activity of probiotic microorganisms.<sup>2</sup> Specific to COVID-19, probiotics have been  
31 suggested as a possible method of: addressing the “cytokine storm” and inflammation caused by  
32 COVID-19; enhancing immune function; and decreasing infections common to patients in the  
33 intensive care unit (ICU), including ventilator-associated pneumonia.<sup>3-6</sup> In addition, literature has  
34 described the potential relationship between gut and lung microbiota and respiratory health.<sup>7-10</sup>

35           Because of the rapid spread of COVID-19 across the globe, there has been little time for  
36 research on the efficacy of probiotics and other nutrition-related interventions on the prevention  
37 and treatment of signs and symptoms from COVID-19 infection specifically. Thus, to inform  
38 evidence-based practice, dietitians must rely on indirect evidence in addition to clinical expertise  
39 and critical thinking. For example, findings on the efficacy of probiotics in individuals with other  
40 forms of coronavirus, acute respiratory distress syndrome (ARDS), critical illness, on ventilators,  
41 or with other viral infections may inform treatment decisions for adults infected with COVID-19.  
42 Evidence scoping reviews are a tool to determine if literature is available on a topic of interest,<sup>11</sup>  
43 including systematic reviews (SRs)<sup>12</sup> and evidence-based practice guidelines.<sup>13</sup> Identifying and  
44 mapping relevant studies can direct dietitians to the most current, applicable research with the  
45 highest-quality study designs to inform practice.

46           The objective of this scoping review was to answer the research question: In adults with  
47 conditions or infections similar to COVID-19 infection, what is the availability and nature of  
48 literature describing the effect of probiotics on health outcomes?

49

## 50 **Methods**

51           This scoping review was conducted based on the protocol by Arksey and O'Malley<sup>11</sup> and  
52 later developed by Levac et al<sup>14</sup> and the Joanna Briggs Institute.<sup>15</sup> The protocol for this scoping  
53 review adheres to the PRISMA checklist for scoping reviews<sup>16</sup> and was registered at Open  
54 Science Framework (osf.io/2etbd).<sup>17</sup>

55

### 56 *Eligibility Criteria*

57           The research question was formulated using the Population-Concept-Context approach.<sup>15</sup>  
58 A full description of the eligibility criteria can be found in **Table 1**. Studies were included if they  
59 included adults with conditions that were applicable to individuals with COVID-19 infection,  
60 including but not limited to, adults with other forms of coronavirus, ARDS, critical illness,  
61 and/or on mechanical ventilation. Use of probiotics to *prevent* viral infections, such as rhinovirus  
62 or influenza, in healthy individuals were not included in this scoping review. The major concept  
63 explored was the intervention of probiotics. Interventions with synbiotics, which contain both  
64 pre- and probiotics, were included. Though the primary focus of this scoping review was to  
65 report studies targeting individuals in the ICU, the context was left open to also include free-  
66 living individuals with respiratory or viral infections similar to COVID-19. Study design was  
67 limited to primary intervention studies, systematic reviews or evidence-based practice guidelines.

68 Studies were limited to those published in the English language due to resource constraints and  
69 since 1999 to capture studies that may have been conducting during or following severe acute  
70 respiratory syndrome (SARS) or middle east respiratory syndrome (MERS) outbreaks.

71

## 72 *Search Strategy*

73 The literature was searched using MEDLINE (EBSCO), CINAHL (EBSCO), Cochrane  
74 Databases of Controlled Trials and Systematic Reviews for articles published in the English  
75 language from January 1999 until the search date of May 1, 2020. Databases were searched  
76 using terms for both population and for probiotics. Search terms for COVID-19 were adapted  
77 from the National Institute for Health and Care Excellence.<sup>18</sup> The search plan for the MEDLINE  
78 database can be found in **Appendix 1**.

79

## 80 *Study Selection and Data Charting*

81 De-duplicated studies were uploaded onto Rayyan, an online title/abstract screening  
82 program.<sup>19</sup> Title/abstract screening was conducted in two phases. In the first phase, one reviewer  
83 (M.R.) excluded all studies that were conducted with animals or cells or did not examine the  
84 intervention of probiotics. All remaining eligible title/abstracts were screened independently by  
85 two reviewers using *a priori* eligibility criteria (**Table 1**) (M.R. and F.W.C.) and discrepancies  
86 were settled by consensus or a third review (D.H.). All potentially included title/abstracts  
87 progressed to full text review. For each potential study, a reviewer examined eligibility criteria  
88 and extracted data on the following: study design; disease condition of target population (ex:  
89 ICU, mechanically ventilated), intervention including the number and type of probiotic strains,<sup>20</sup>

90 whether the intervention was delivered in the context of a synbiotic, and mode of delivery;  
91 comparison treatment; and outcomes reported. Eligibility and data extraction were confirmed by  
92 a second reviewer, with questions and discrepancies determined by consensus or a third  
93 reviewer. As is customary for scoping reviews, eligibility criteria were clarified during the full-  
94 text review, and the authors determined that trauma, burn and acute pancreatitis were conditions  
95 or infections not applicable to the COVID-19 population. The search and selection process was  
96 documented on a PRISMA flowchart.<sup>21</sup> Results were synthesized narratively and were mapped  
97 using a heat map, pie chart and bar graph.

98

## 99 **Results**

100 The databases and hand searches identified 1,925 unique title/abstracts. Full texts of 77  
101 studies were reviewed, and 48 studies were included in scoping review, including 17 SRs,<sup>22-38</sup> 26  
102 RCTs,<sup>39-64</sup> and five NRCTs (including both non-randomized controlled trials and observational  
103 studies)<sup>65-69</sup> (**Figure 1**).

104

### 105 *Overview of Included Articles*

106 Of the 48 included articles, twenty-three articles<sup>23-26,30,31,36,37,40,43-47,49,50,52,53,55,58,60,64,69</sup>  
107 focused on participants who were critically ill but not mechanically ventilated, twenty  
108 articles<sup>22,27,28,32-35,38,39,41,42,51,59,61-63,66-69</sup> targeted adults who were critically ill and mechanically  
109 ventilated, and five<sup>29,54,56,57,65</sup> included individuals with various conditions, such as respiratory  
110 tract infections or influenza (**Figure 2**). All articles focused on the adult population, which may  
111 include older adults, but none of them focused exclusively on older populations.

112 The most commonly reported outcomes were mortality, followed by development of  
113 ventilator-associated pneumonia, new infections, length of hospital, gastrointestinal symptoms,  
114 gastrointestinal microbiota, adverse events, inflammatory markers, days on ventilator,  
115 development of pneumonia, nutrition status, organ dysfunction/failure, quality of life, and  
116 severity of symptoms of viral symptoms. Availability and nature of included studies are  
117 demonstrated on a heat map (**Figure 2**), which illustrates the distribution of outcomes assessed in  
118 the included articles according to study design and patients' condition. For example, of the nine  
119 RCTs with critically ill and mechanically ventilated patients,<sup>28,39,41,42,49,51,59,61,62</sup> eight of them  
120 reported development of ventilator-associated pneumonia as an outcome.<sup>28,39,41,42,49,51,59,61</sup>

121

#### 122 *Primary Studies Included in Scoping Review*

123 Of the 31 primary research studies included, sample sizes ranged from 15 to 259  
124 participants and intervention durations ranged from two to 60 days. However, intervention  
125 durations were often variable even within a study depending on how long the participant was in  
126 the ICU or on mechanical ventilation. Eight of the included primary studies examined probiotics  
127 in the context of synbiotics (pre- and probiotics combined).<sup>59-64,67,69</sup> The number of probiotic  
128 strains varied between studies, with 42% of studies intervening with one probiotic strain and  
129 16% intervening with 7-10 probiotic strains (**Figure 3**). The probiotic genus most frequently  
130 utilized in interventions was lactobacillus (90.3% of interventions), followed by bifidobacterium  
131 (32.2% of interventions) and streptococcus (19.4% of interventions) (**Figure 4**); several species  
132 of these genera was included across study interventions. Interventions were delivered enterally  
133 through a feeding tube due to the critical condition of nearly all participants in included studies,  
134 except in two studies each in which probiotics were ingested orally<sup>56,57</sup> or applied topically.<sup>48,49</sup>



135 In four studies, authors indicated multiple routes of probiotics delivery. Patients were given  
136 probiotics orally vs through a feeding tube depending on patient condition in Kwon et al,<sup>50</sup>  
137 McNaught et al<sup>53</sup> and Forestier, et al<sup>46</sup> and probiotics were administered topically in the  
138 oropharynx combined with enterally in Morrow et al.<sup>54</sup>

139

#### 140 *Systematic Reviews/Meta-Analyses and Guidelines Included in Scoping Review*

141 Seventeen systematic reviews and guidelines were included in this scoping review.<sup>22-38</sup>  
142 The authors' conclusions and certainty of evidence for systematic reviews published from 2010-  
143 2020 are shown in **Table 2**. In these systematic reviews, authors' conclusions are heterogeneous,  
144 though there were no systematic reviews describing high-quality evidence examining the effect  
145 of probiotics in the populations of interest. Most of the systematic reviews describe that  
146 probiotics decreased incidence of VAP,<sup>26-28,34-36</sup> though other systematic reviews that specifically  
147 focused on VAP incidence concluded no beneficial effect from probiotics.<sup>22,29,32</sup> Several authors  
148 describe that intervention heterogeneity<sup>22,25,26,29,32,34,36</sup> and/or risk of bias<sup>24-26,29,34,36</sup> were a  
149 concern. While most systematic reviews did include an analysis of the risk of bias of included  
150 studies,<sup>22,24-26,28-30,33-35,37,38</sup> few reported on the certainty of evidence for outcomes.<sup>30,34</sup> The  
151 systematic review conducted by the Cochrane Collaboration in 2014 described low quality  
152 evidence for the effect of probiotics on ventilator-associated pneumonia.<sup>34</sup> There were fewer  
153 conclusions describing the effect of probiotics on other outcomes. Authors concluded that  
154 probiotics may decrease infections but had no effect on mortality. One systematic review focused  
155 specifically on the outcome of adverse events and found no increased risk for critically ill  
156 patients administered probiotics.<sup>30</sup>

157

**158 Discussion**

159 This scoping review elucidated that there was considerable research, including recent  
160 systematic reviews, on the use of probiotics to treat ventilator-associated pneumonia in critically  
161 ill patients on mechanical ventilation, which may be applicable to patients infected with COVID-  
162 19. There were also systematic reviews available describing the effect of probiotics on length of  
163 hospital stay, mortality, new infections and gastrointestinal symptoms in critically ill patients  
164 who were or were not mechanically ventilated. There were no systematic reviews or primary  
165 studies included that examined the effects of probiotics in patients infected with COVID-19 or  
166 other forms of the coronavirus, and there was little evidence regarding treating other viral  
167 infections such as influenza. There were important outcomes, including quality of life and  
168 severity of symptoms from a viral infection, that were not addressed in primary studies or  
169 systematic reviews.

170

*171 Application to Practitioners in the Context of COVID-19 Pandemic*

172 Evidence-based practice depends on practitioners staying abreast of the most recent  
173 evidence and interpreting and implementing it through the lens of clinical expertise and in  
174 consideration of each individual patient. The COVID-19 pandemic has developed so rapidly that  
175 practitioners are required to analyze indirect evidence in populations that may be comparable to  
176 determine which interventions will result in the most optimal outcomes.

177 This scoping review demonstrated that, at present, there are no systematic reviews or  
178 primary studies examining the effect of probiotics in patients with COVID-19 or other forms of

179 coronavirus. Therefore, there is currently no direct evidence to demonstrate that probiotics may  
180 be effective in reducing COVID-19 symptoms for patients with mild or moderate infections who  
181 are managing care at home. There is evidence available in patients with critical illness,  
182 particularly those who are mechanically ventilated, and this body of research may be applicable  
183 to individuals infected with COVID-19 in critical care. While there was one guideline describing  
184 probiotics use in mechanically-ventilated critically ill adults,<sup>38</sup> this guideline was from 2003 and  
185 described insufficient evidence to make a recommendation. Thus, for practitioners to find a  
186 starting point for guidance regarding probiotic interventions for patients with COVID-19, they  
187 may need to interpret findings from systematic reviews through the lens of clinical expertise,  
188 with consideration how the COVID-19 infection specifically may modify relationships observed  
189 in critically ill patients without COVID-19. In addition, practitioners will need to consider  
190 pragmatic considerations that are typically incorporated into guideline recommendations  
191 including feasibility and acceptability to other providers on the healthcare teams<sup>70</sup> as well as  
192 factors specific to individuals infected with COVID-19. For example, a recent COVID-19 report  
193 on nutrition therapy by the Society of Critical Care Medicine and the American Society for  
194 Parenteral and Enteral Nutrition describe that supplemental nutrition given in discrete doses,  
195 such as probiotics, should be given once per day to cluster care.<sup>71</sup>

196 Any intervention can result in unintended consequences, and the risk-benefit ratio must  
197 be considered when determining whether to intervene with probiotics. The mechanisms of  
198 probiotics in regards to modulating the immune system to prevent and treat infections is not well  
199 understood,<sup>72</sup> and thus, practitioners should proceed with caution when recommending probiotics  
200 to individuals infected with COVID-19.

201

202 *Research Needs*

203           The heterogeneity in findings described between systematic reviews may be indicative of  
204 the heterogeneous populations within critical care, or due to the variation in types and doses of  
205 probiotics delivered in the interventions. Most of the included systematic reviews regarded  
206 “probiotics” as the intervention, but as demonstrated in the primary studies, probiotics can be  
207 delivered in a variety of genera, species, dosages, modes, and durations. In fourteen studies,  
208 including eight primary studies<sup>59-64,67,69</sup> and six systematic reviews,<sup>32-37</sup> authors included  
209 interventions with synbiotics, which include a prebiotic along with the probiotic to stimulate,  
210 activate, or improve survival of probiotic microorganisms.<sup>73</sup> While there were no clear  
211 differences in systematic review conclusions according to if the intervention was delivered in a  
212 synbiotic vs probiotic alone, this difference in included primary studies may have contributed to  
213 the heterogeneity demonstrated between the systematic reviews. Therefore, future systematic  
214 reviews should stratify narrative and quantitative results according to the types or diversity of  
215 strains in the interventions of primary studies in order to determine it using specific probiotics or  
216 a greater diversity of probiotic organisms is advantageous in improving outcomes. In addition,  
217 more research is needed on patient-centered outcomes such quality of life and severity of  
218 symptoms from viral infections.

219           The greater research need is to understand the efficacy and risks of utilizing probiotics in  
220 COVID-19 infected patients specifically. Currently, research trials are underway to determine  
221 the effect of probiotics in treating COVID-19 infection.<sup>74-76</sup> Dietitians who are working with  
222 individuals infected with COVID-19 and who are using probiotics in care are encouraged to  
223 document experiences using the Academy of Nutrition and Dietetics Health Informatics

224 Infrastructure (ANDHII).<sup>77</sup> This forum allows practitioners to contribute experiences to an  
225 evidence base for nutrition practice, with the goal of improving patient care.

226

### 227 *Strengths and Limitations*

228 This scoping review examined the effects of probiotics on a wide range of conditions that  
229 may be applicable to COVID-19 infected patients. However, due to the rapid development of the  
230 COVID-19 pandemic, there has been little time for published research regarding the effect of  
231 probiotics in patients infected with COVID-19. Therefore, though the evidence reported in this  
232 scoping review is a good starting place for finding applicable literature on probiotics that may  
233 apply to COVID-19 infected patients, the specific pathology and secondary complications of  
234 COVID-19 infection require that practitioners assess the potential benefits and risk for each  
235 individual patient before recommending probiotics.

236

### 237 **Conclusion**

238 Probiotics have been suggested as a potential method of modulating the immune system  
239 to improve outcomes, such as ventilator-associated pneumonia, in patients infected with COVID-  
240 19. There is currently no direct evidence examining the use of probiotics in improving outcomes  
241 in patients infected with COVID-19 or other similar viral infections. There have been several  
242 systematic reviews examining the effects of probiotics in individuals with critical illness with or  
243 without mechanical ventilation on patient-centered outcomes such as mortality and new  
244 infections, including ventilator-associated pneumonia. However, risk of bias in these studies and  
245 heterogeneity between studies preclude consistent conclusions between systematic reviews, and

246 practitioners should consider these limitations when determining treatment priorities for critically

247 ill patients with COVID-19.

248

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**458 Figures**

459 Figure 1. PRISMA Flow Diagram for Scoping Review of Literature Examining the Effects of Probiotics  
460 on COVID-19 Related Outcomes

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462 Figure 2. Heat Map Describing Interventions and Outcomes According to Study Design in a  
463 Scoping Study Investigating the Effect of Probiotics in Conditions Similar to COVID-19  
464 infection on Health Outcomes. Red color = highest number of studies, yellow color = number of  
465 studies at around 50 percentile, green color = lowest number of studies.

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467 Figure 3. Proportion of Primary Research Studies Included in the Scoping Review According to  
468 the Number of Probiotics Strains in the Study Interventions (N=31).

469

470 Figure 4. Frequency of Probiotic Genera in Interventions of Primary Research Studies Included  
471 in the Scoping Review (N=31).

472 Appendix 1. Sample search strategy from MEDLINE database for Scoping Review Examining  
473 the Effect of Probiotics on COVID-19 Related Outcomes.

474



Appendix 1. Sample search strategy from MEDLINE database for Scoping Review Examining the Effect of Probiotics on COVID-19 Related Outcomes.

#	Query	Limiters/Expanders	Last Run Via
S18	S16 AND S17	Limiters - Date of Publication: 19990101-20201231 Search modes - Boolean/Phrase	Interface - EBSCOhost Research Databases Search Screen - Advanced Search Database - MEDLINE Complete
S17	S1 OR S2 OR S3	Search modes - Boolean/Phrase	Interface - EBSCOhost Research Databases Search Screen - Advanced Search Database - MEDLINE Complete
S16	S4 OR S5 OR S6 OR S7 OR S8 OR S9 OR S10 OR S11 OR S12 OR S13 OR S14 OR S15	Search modes - Boolean/Phrase	Interface - EBSCOhost Research Databases Search Screen - Advanced Search Database - MEDLINE Complete
S15	(MH "Influenza, Human") OR (MH "Virus Diseases+") OR (MH "Viremia+")	Search modes - Boolean/Phrase	Interface - EBSCOhost Research Databases Search Screen - Advanced Search Database - MEDLINE Complete
S14	(MH "Sepsis+")	Search modes - Boolean/Phrase	Interface - EBSCOhost Research Databases Search Screen - Advanced Search Database - MEDLINE Complete
S13	"acute respiratory distress syndrome" OR (MH "Respiratory Distress Syndrome, Adult")	Search modes - Boolean/Phrase	Interface - EBSCOhost Research Databases Search Screen - Advanced Search Database - MEDLINE Complete
S12	(MH "Respiratory Tract Infections+")	Search modes - Boolean/Phrase	Interface - EBSCOhost Research Databases Search Screen - Advanced Search Database - MEDLINE Complete

S11	(MH "Critical Illness")	Search modes - Boolean/Phrase	Interface - EBSCOhost Research Databases Search Screen - Advanced Search Database - MEDLINE Complete
S10	(MH "Respiration, Artificial+")	Search modes - Boolean/Phrase	Interface - EBSCOhost Research Databases Search Screen - Advanced Search Database - MEDLINE Complete
S9	(MH "Pneumonia, Ventilator-Associated") OR (MH "Pneumonia+")	Search modes - Boolean/Phrase	Interface - EBSCOhost Research Databases Search Screen - Advanced Search Database - MEDLINE Complete
S8	(MH "Middle East Respiratory Syndrome Coronavirus")	Search modes - Boolean/Phrase	Interface - EBSCOhost Research Databases Search Screen - Advanced Search Database - MEDLINE Complete
S7	(MH "SARS Virus") OR (MH "Severe Acute Respiratory Syndrome")	Search modes - Boolean/Phrase	Interface - EBSCOhost Research Databases Search Screen - Advanced Search Database - MEDLINE Complete
S6	coronavirus* OR coronavirus* OR coronavirinae* OR Coronavirus* OR Coronavirus* OR Wuhan* OR Hubei* OR Huanan OR "2019-nCoV" OR 2019nCoV OR nCoV2019 OR "nCoV-2019" OR "COVID-19" OR COVID19 OR "CORVID-19" OR CORVID19 OR "WN-CoV" OR WNCov OR "HCoV-19" OR HCoV19 OR CoV OR "2019 novel*" OR Ncov OR "n-cov" OR "SARS-CoV-2" OR "SARSCoV-2" OR "SARSCoV2" OR "SARS-CoV2" OR SARSCov19 OR "SARS-Cov19"	Search modes - SmartText Searching	Interface - EBSCOhost Research Databases Search Screen - Advanced Search Database - MEDLINE Complete

	OR "SARSCov-19" OR "SARS-Cov-19" OR Ncovor OR Ncorona* OR Ncorono* OR NcovWuhan* OR NcovHubei* OR NcovChina* OR NcovChinese*		
S5	((corona* OR corono*) N0 (virus* OR viral* OR virinae*)) OR ((corona* OR corono*) N0 (virus* OR viral* OR virinae*))	Search modes - SmartText Searching	Interface - EBSCOhost Research Databases Search Screen - Advanced Search Database - MEDLINE Complete
S4	(MH "Coronavirus+")	Search modes - Boolean/Phrase	Interface - EBSCOhost Research Databases Search Screen - Advanced Search Database - MEDLINE Complete
S3	(MH "Bifidobacterium+")	Search modes - Boolean/Phrase	Interface - EBSCOhost Research Databases Search Screen - Advanced Search Database - MEDLINE Complete
S2	(MH "Lactobacillus+")	Search modes - Boolean/Phrase	Interface - EBSCOhost Research Databases Search Screen - Advanced Search Database - MEDLINE Complete
S1	(MM "Probiotics") OR "probiotics"	Search modes - Boolean/Phrase	Interface - EBSCOhost Research Databases Search Screen - Advanced Search Database - MEDLINE Complete

Table 1. Eligibility Criteria for Scoping Review of Studies Examining the Effect of Probiotics on COVID-19 Related Outcomes

Category	Inclusion Criteria	Exclusion Criteria
<b>Study Type</b>	Articles published in peer-reviewed journals	Conference abstracts, grey literature such as organizational reports, government documents and white papers.
<b>Population</b>	Adult humans who have <ul style="list-style-type: none"> <li>● shown signs and symptoms or tested positive for viral infections related to the coronavirus (COVID-19, SARS, MERS)</li> <li>● acute respiratory disease (ARDS)</li> <li>● pneumonia</li> <li>● or are at risk for ventilator-associated pneumonia</li> <li>● respiratory tract infections</li> <li>● critical illness</li> <li>● planned/mechanical ventilation</li> <li>● sepsis</li> <li>● viral diseases, specifically influenza</li> </ul>	Animal studies Cell/In Vitro studies Children, healthy adults, athletes, pregnant women.  Individuals who do not have an infection/condition of interest. Individuals with the following conditions: HIV/AIDS, HPV, Hepatitis, Post-surgery, Trauma/ brain injury/burn, COPD, Acute Pancreatitis
<b>Intervention</b>	Probiotics, synbiotics	Herbal supplements
<b>Comparison</b>	No limits	No limits
<b>Outcomes</b>	Outcomes including but not limited to: Mortality Quality of life Development of COVID-19 or ventilator-associated pneumonia or other pneumonia Hospital Admission Intubation Days on Ventilator Length of hospital stay Symptom severity Nutrition Status Gastrointestinal symptoms New Infections Inflammatory markers Gastrointestinal bacteria/microbiota	Outcomes not related to COVID-19 and/or nutrition
<b>Setting</b>	No limits	No limits

<b>Sample Size</b>	No limits	No limits
<b>Study Designs</b>	Intervention and observational primary studies Systematic review and meta-analyses	Narrative reviews, commentary, editorials, letters to the editor
<b>Year Range</b>	January 1999 to May 1, 2020	Articles published before 1999 or after the search on May 1, 2020
<b>Language</b>	English	Non-English

ARDS= acute respiratory distress syndrome; COPD= chronic obstructive pulmonary disease;  
 COVID-19= 2019 novel coronavirus; HIV/AIDS= human immunodeficiency virus  
 infection/acquired immune deficiency syndrome; HPV= human papillomavirus; MERS= Middle  
 East Respiratory Syndrome; SARS= Severe Acute Respiratory Syndrome

Table 2. Authors conclusions in systematic reviews or guidelines published from 1999-2020 examining the effect of probiotics in individuals with conditions comparable to COVID-19 infection.

<b>Systematic Review or Guideline</b>	<b>Target Population/ Context</b>	<b>Authors Conclusion</b>	<b>Grade for Certainty of Evidence</b>
Fan et al 2019 <sup>35</sup>	Prevention of VAP	“Based on efficacy ranking, “B. longum + L. bulgaricus + S. thermophiles” should be the first [symbiotic] choice for prevention of VAP, while Synbiotic 2000FORTE has the potential to reduce in-hospital mortality and ICU mortality.”	NR. Efficacy of interventions was ranked in network meta-analysis.
Manzanares et al 2016 <sup>36</sup>	Critical Illness	“Probiotics show promise in reducing infections, including VAP in critical illness. Currently, clinical heterogeneity and potential publication bias reduce strong clinical recommendations and indicate further high quality clinical trials are needed to conclusively prove these benefits.”	NR
Bo et al 2014 <sup>34</sup>	Prevention of VAP	“Evidence suggests that use of probiotics is associated with a reduction in the incidence of VAP. However, the quality of the evidence is low... The available evidence is not clear regarding a decrease in ICU or hospital mortality with probiotic use... The results of this meta-analysis do not provide sufficient evidence to draw conclusions on the efficacy and safety of probiotics for the prevention of VAP in ICU patients.”	Incidence of VAP: low ICU and Hospital Mortality: very low
Barraud et al 2013 <sup>33</sup>	Critical Illness	“The present meta-analysis suggests that the administration of probiotics did not significantly reduce ICU or hospital mortality rates but did reduce the incidence of ICU-acquired pneumonia and ICU length of stay.”	NR

Wang et al 2013 <sup>29</sup>	Prevention of VAP	“Probiotic prophylaxis of [VAP] remained inconclusive and it failed to improve the prognosis of general mechanically ventilated patients. It was noteworthy that infections caused by <i>P. aeruginosa</i> was reduced by administration of probiotics. In further, it is recommended that advanced studies should exploit transformation in pathogenic microorganisms owing to administration of probiotics as well as the specific population.”	NR
Gu et al 2012 <sup>22</sup>	Prevention of VAP	“The limited evidence suggests that probiotics show no beneficial effect in patients who are mechanically ventilated; thus, probiotics should not be recommended for routine clinical application. However, the results of this meta-analysis should be interpreted with caution because of the heterogeneity among study designs. Future studies should focus on the safety of probiotics.	NR
Liu et al 2012 <sup>25</sup>	Critical Illness	“The use of probiotics was associated with a statistically significant reduction in the incidence of nosocomial pneumonia in critically ill patients. However, large, well-designed, randomized, multi-center trials are needed to confirm any effects of probiotics clinical endpoints such as mortality and length of ICU and hospital stay.”	NR
Petrof et al 2012 <sup>26</sup>	Critical Illness	“Probiotics appear to reduce infectious complications including [VAP] and may influence [ICU] mortality. However, clinical and statistical heterogeneity and imprecise estimates preclude strong clinical recommendations. Further research on probiotics in the critically ill is warranted.”	NR

Bailey et al 2011 <sup>32</sup>	Prevention of VAP	“Clinical trials have failed to demonstrate a consistent beneficial effect of probiotics in mechanically ventilated patients; thus, they are not recommended for routine clinical use. However, heterogeneity among study designs may hinder this assessment and the designs should be unified in future research.”	NR
Hempel et al 2011 <sup>30</sup>	Includes Critical Illness	“There is a lack of assessment and systematic reporting of adverse events in probiotic intervention studies, and interventions are poorly documented. The available evidence in RCTs does not indicate an increased risk; however, rare adverse events are difficult to assess, and despite the substantial number of publications, the current literature is not well equipped to answer questions on the safety of probiotic interventions with confidence.”	Insufficient, but critical illness not examined separately
Schultz et al 2011 <sup>27</sup>	Prevention of VAP	“Prophylactic use of antibiotics in critically ill patients is effective in reducing the incidence of VAP. Probiotic strategies deserve consideration in future well-powered trials. Future studies are needed to determine if preventive... probiotic strategies are safe with regard to development of ... probiotic infections. It should be determined whether the efficacy of probiotics improves when these agents are provided to the mouth and the intestines simultaneously.”	NR
Siempos et al 2010 <sup>28</sup>	Prevention of VAP	“Administration of probiotics is associated with lower incidence of [VAP] than control. Given the increasing antimicrobial resistance, this promising strategy deserves consideration in future studies, which should have active surveillance for probiotic-induced diseases.”	NR
Jack et al 2010 <sup>23</sup>	Critical Illness	“Evidence to support probiotic use in the management of [enteral tube feeding] diarrhea in critically ill patients remains unclear. This paper	NR



		argues that probiotics should not be administered to critically ill patients until further research has been conducted to examine the causal relationship between probiotics and mortality, irrespective of the patient's disease state or projected prophylactic benefit of probiotic administration."	
Koretz et al 2009 <sup>24</sup>	Critical Illness	"Probiotics did not appear to influence mortality or duration of hospitalization. However, the recipients of the probiotics had fewer infectious episodes... it is not clear that probiotics are beneficial (and they may even be harmful) in the critically ill patient group."	NR
Isakow et al 2007 <sup>31</sup>	Prevention of HAP	"There is no current clinical evidence to support the use of probiotics to ... reduce HAP rates."	NR
Watkinson et al 2007 <sup>37</sup>	Critical Illness	"The use of pre- pro- or synbiotics in adult critically ill patients confers no statistically significant benefit [for nosocomial infections, length of ICU stay, hospital mortality and specifically pneumonia]. There is currently a lack of evidence to support the use of pre- pro- or synbiotics in patients admitted to adult ICUs, and a large well-designed trial is needed in this area."	NR
Heyland et al 2003 <sup>38a</sup>	Critical Illness, Mechanically Ventilated	"There are insufficient data to make a recommendation on the use of probiotics in critically ill patients."	NR

HPA= Hospital-Associated Pneumonia; ICU= Intensive Care Unit; NR=Not Reported; RCTs= Randomized Controlled Trials; VAP= Ventilator-Associated Pneumonia

<sup>a</sup>Evidence-based practice guideline

Figure 1. PRISMA Flow Diagram for Scoping Review of Literature Examining the Effects of Probiotics on COVID-19 Related Outcomes

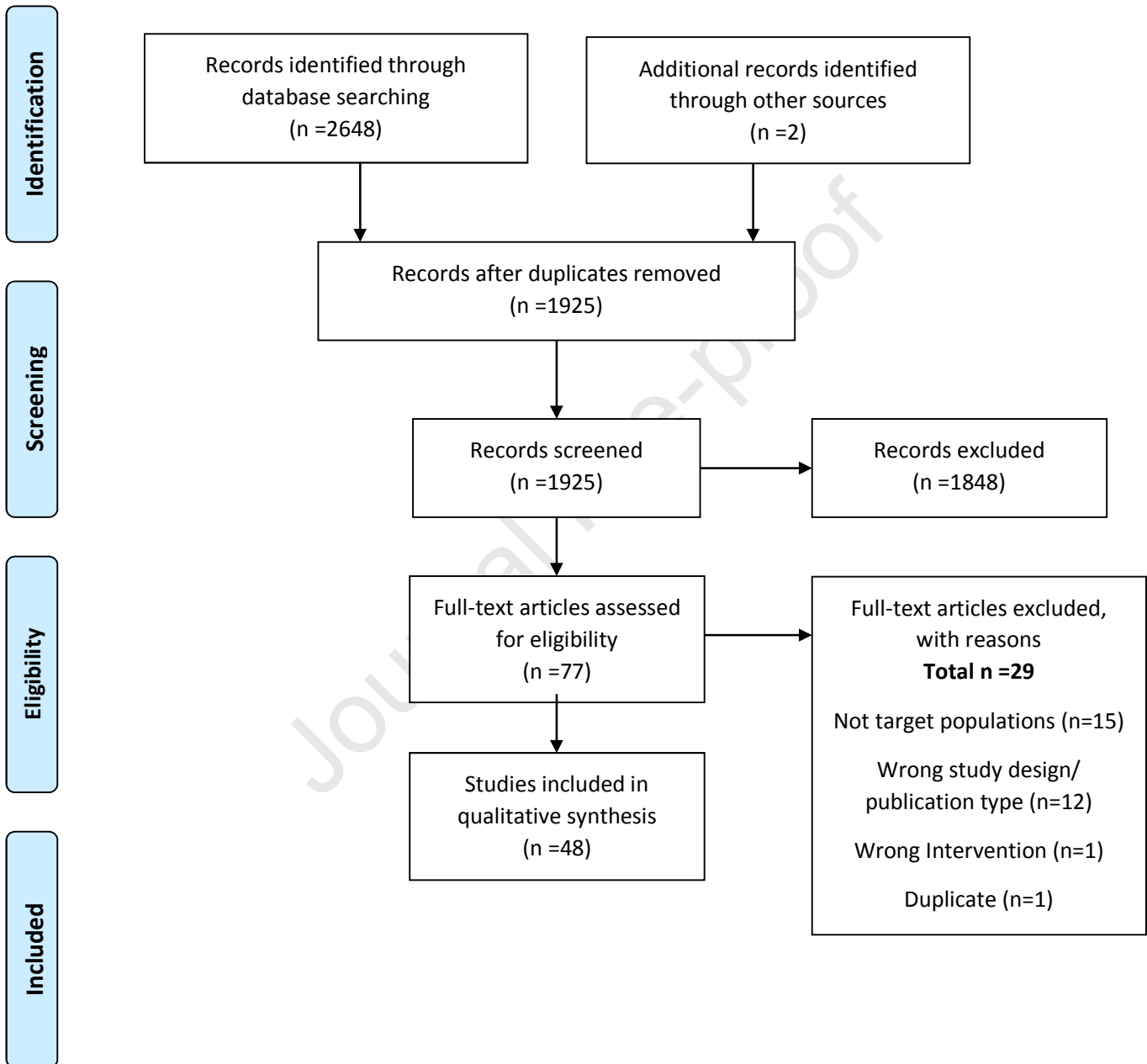


Figure 2. Heat Map Describing Interventions and Outcomes According to Study Design in a Scoping Study Investigating the Effect of Probiotics in Conditions Similar to COVID-19 infection on Health Outcomes. Green cells indicate few included studies for the indicated population, outcome and study design, with yellow, orange and red cells indicating progressively more available evidence.

		Critical illness + mechanically ventilated			Critical illness + not mechanically ventilated			Others		
		RCT	NRCT	SR/M/G	RCT	NR CT	SR/M/G	RC T	NR CT	SR/M/G
	Total number of studies by RCT, NRCT, SR/M/G	n=9	n=3	n=8	n=14	n=1	n=8	n=3	n=1	n=1
Outcomes	Adverse events	2	1	2	2	0	4	1	0	0
	Days on ventilator	2	1	4	2	0	0	0	0	0
	Development of pneumonia	0	1	0	1	0	3	0	0	0
	Development of ventilator-associated pneumonia	8	2	7	3	0	2	1	0	1
	GI microbiota	3	1	1	6	1	0	1	1	0
	GI symptoms	4	1	2	5	1	3	2	0	0
	Inflammatory markers	2	0	0	6	1	0	0	1	0
	Length of hospital stay	7	1	3	3	0	5	0	0	1
	Mortality	7	2	5	4	0	6	0	0	1
	New infections	6	3	2	4	1	5	1	1	1
	Nutrition status	1	0	0	2	0	0	0	0	0
	Organ dysfunction/failure	1	0	0	2	0	0	0	0	0
	Quality of life	0	0	0	0	0	1	0	0	0
	Severity of symptoms of viral infection	1	0	0	0	0	0	0	0	0

RCT=Randomized controlled trial; NRCT=Non-randomized controlled study;

SR/M/G=Systematic review/Meta-analysis/Guideline

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Figure 3. Proportion of Primary Research Studies Included in the Scoping Review According to the Number of Probiotics Strains in the Study Interventions (N=31).

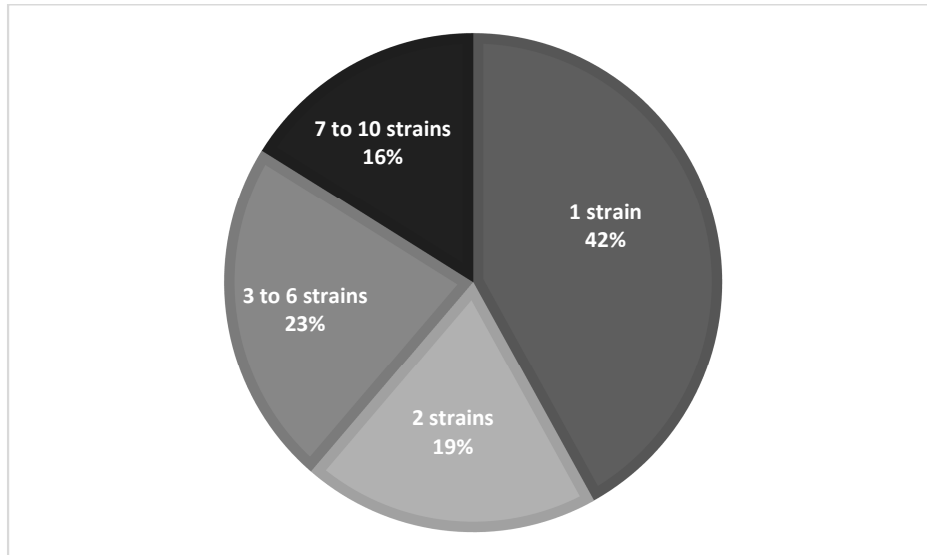


Figure 4. Frequency of Probiotic Genera in Interventions of Primary Research Studies Included in the Scoping Review (N=31).

